



NTNU

Norwegian University of
Science and Technology

FORMAL METHODS IN CRYPTOGRAPHY

Oskar Goldhahn

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Testing

Formal Methods

Type Theory

Formal Verification in Cryptography

Testing

```
def concat(a, b):  
    c = []  
    for e in a:  
        c.append(e)  
    for e in b:  
        c.append(e)  
    return c  
  
def test1():  
    assert concat([1,2,3], [4]) == [1,2,3,4]
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Limitations of this approach? Discuss

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- ▶ Test cases can be biased
- ▶ We need to predict possible regressions

Property testing

```
def test():  
    for _ in range(1000):  
        a = rand_list()  
        b = rand_list()  
        c = concat(a,b)  
        assert len(a) + len(b) == len(c)  
        for i in range(len(a)):  
            assert a[i] == c[i]  
        for i in range(len(b)):  
            assert b[i] == c[len(a) + i]
```


Problems

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- ▶ We can miss edge cases
- ▶ Random tests are a bad developer experience
- ▶ Side effects might be absent in tests

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Specify the semantics of programming languages

Prove programs correct in the semantics

Tools

We could do these proofs by hand but there are also tools to help

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- ▶ Interactive Proof Assistants

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- ▶ Interactive Proof Assistants
- ▶ Automated Theorem Proving
 - ▶ SAT solvers
 - ▶ SMT solvers
 - ▶ Model Checking
 - ▶ AI

Why automate?

- ▶ Speed
- ▶ Efficient usage of Human Time
- ▶ Predictability
- ▶ Accuracy

Type Systems

```
from typing import List

def concat(a: List[int], b: List[int]) -> List[int]:
    c: List[int] = []
    for e in a:
        c.append(e)
    for e in b:
        c.append(e)
    return c
```

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Instead of organizing elements into sets $x \in S$ we organize them into types $x : T$ and restrict which expressions are proper based on the types

- ▶ If $x : \text{bool}$ and $f : \text{nat} \rightarrow \text{nat}$ then $f(x)$ is not a well *typed* expression
- ▶ $1 = T$ is not a well *typed* formula
- ▶ In set theory $\emptyset(\emptyset)$ is an entirely valid expression

A Simple Type Theory

We start with a set of variables x and base types b with constants c

$\tau ::= b \mid \tau \rightarrow \tau$ (types)

$e ::= x \mid (\lambda x : \tau. e) \mid e e \mid c$ (expressions)

Typing Rules

A typing context Γ is a set of $x : \tau$ -pairs

$$\frac{x : \sigma \in \Gamma}{\Gamma \vdash x : \sigma}$$

$$\frac{c \text{ is a constant of type } T}{\Gamma \vdash c : T}$$

$$\frac{\Gamma, x : \sigma \vdash e : \tau}{\Gamma \vdash (\lambda x : \sigma. e) : (\sigma \rightarrow \tau)}$$

$$\frac{\Gamma \vdash e_1 : \sigma \rightarrow \tau \quad \Gamma \vdash e_2 : \sigma}{\Gamma \vdash e_1 e_2 : \tau}$$

Computation

$$\frac{\Gamma, x : \sigma \vdash e : \tau \quad \Gamma \vdash u : \sigma}{(\lambda x : \sigma. e)u =_{\tau} e[u/x]} \quad (\beta\text{-reduction})$$

$$\frac{\Gamma \vdash e : \sigma \rightarrow \tau \quad x \notin \text{free}(e)}{(\lambda x : \sigma. e x) =_{\sigma \rightarrow \tau} e} \quad (\eta\text{-reduction})$$

Algebraic Data Types

Some type theories define structured types

$$\text{bool} ::= \text{true} \mid \text{false}$$
$$\text{nat} ::= \text{O} \mid \text{S}(\text{nat})$$
$$\tau \text{ list} ::= \text{nil} \mid \text{cons}(\tau, \tau \text{ list})$$

We use the structure to add:

- ▶ constructors
- ▶ an induction principle
- ▶ pattern matching

EasyCrypt Demo

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Formal Verification of Cryptography

What do we need from cryptographic code?

Which properties of programs do cryptographers care about that others might not?

Discuss.

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Notable Requirements

- ▶ low level imperative code
- ▶ semantics captures probabilities
- ▶ semantics captures side channels
- ▶ good performance

Modeling Cryptographic Systems

Symbolic Model[3]

- ▶ Abstracts away most Details
- ▶ Easy to reason about automatically
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Computational Model

- ▶ Abstracts away less Details
- ▶ Hard to reason about automatically
- ▶ Suited to both Protocols and Primitives

Cryptography

1. Design System
2. Security Proof
3. Cryptoanalysis
4. Implementation

Cryptography

1. Design System Symbolic
2. Security Proof Symbolic & Computational
3. Cryptoanalysis Symbolic
4. Implementation Computational

Implementation

Without formal methods

1. Read Papers/Specification
2. Write Code
3. Optimize Code

Implementation

Without formal methods

1. Read Papers/Specification
2. Write Code
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With formal methods

1. Read Specification
2. Write Code
3. Prove that Code matches Spec
4. Optimize Code
5. Prove that Optimized Code matches Original Code

Implementation

Need:

- ▶ Formal Semantics
- ▶ Specification
- ▶ Tool
- ▶ Proofs

Implementation

Need:

- ▶ Formal Semantics
- ▶ Specification
- ▶ Tool
- ▶ Proofs

Get:

- ▶ Assurance that the Code matches the Spec

With some tools

- ▶ Verified Optimizations
- ▶ Verified Compilation
- ▶ Verified Side Channel Resistance



Checking Security Proofs

Without formal methods

1. Understand the Proof outline
2. Critically read the Proof while filling in Details

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Without formal methods

1. Understand the Proof outline
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With formal methods

1. Manually check Definitions
2. Manually check Theorem Statements
3. Run the Proof Checker

Checking Security Proofs

Need:

- ▶ Formal Spec
- ▶ Mathematical Theories
 - ▶ Definitions
 - ▶ Lemmas
- ▶ Proofs
- ▶ Tools

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- ▶ Formal Spec
- ▶ Mathematical Theories
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- ▶ Tools

Get:

- ▶ Assurance that system described in the Spec has the desired properties

Tools for Security Proofs

- ▶ EasyCrypt[4]
- ▶ FCF[11]
- ▶ SSProve[13]
- ▶ CryptHOL[10]

Some Tools and Projects in Implementation

Verified compilers

- ▶ CompCert[2] (C)
- ▶ Jasmin[7]
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- ▶ KaRaMeL[8] ($F^* \rightarrow C$)
- ▶ HacSpec[6] ($Rust \rightarrow F^*$)

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Major Projects

- ▶ Fiat-Crypto[5] (Bedrock2)
- ▶ Libjade[9] (Jasmin)
- ▶ Project Everest[12] (KaRaMeL)

References I

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